



1653

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ann M. Lees et al.  
Serial No. : 09/976,740  
Filed : October 12, 2001  
Title : NOVEL LOW DENSITY LIPOPROTEIN BINDING PROTEINS AND THEIR  
USE IN DIAGNOSING AND TREATING ATHEROSCLEROSIS

Art Unit :  
Examiner :

*PHS*

Commissioner for Patents  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/616,289, filed on July 14, 2000. The references listed in the attached form PTO-1449 were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 10797-004002.

Respectfully submitted,

Date: November 6, 2002

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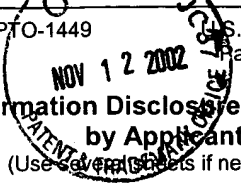
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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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|  |  |                                       |                               |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br>Information Disclosure Statement<br>by Applicant<br>(Use several sheets if necessary)<br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>10797-004002 | Application No.<br>09/976,740 |
|  | Applicant<br>Ann M. Lees et al.                            |                                       |                               |
|  | Filing Date<br>October 12, 2001                            | Group Art Unit                        |                               |

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee      | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|---------------|-------|----------|----------------------------|
|                  | AA        | 5,661,015     | 08/26/1997 | Binger et al. | 435   | 172.3    |                            |
|                  | AB        |               |            |               |       |          |                            |

**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
|                  | AC        | WO 96/12811     | 05/1996          | US                       |       |          |             |    |
|                  | AD        |                 |                  |                          |       |          |             |    |

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
|                  | AE        | Altenburger et al., Database: A_Geneseq_0601, Accession No.: AAW33626 (May 21, 1998)   |
|                  | AF        | Daikin Kogyo KK, Database: A_Geneseq_0601, Accession No.: AAR30641 (May 6, 1993)   |
|                  | AG        | Gottschling et al., Database: A_Geneseq_0601, Accession No.: AAR95607 (October 12, 1996)   |
|                  | AH        | Miki et al., Database: SPTREMBL_16, Accession No.: Q95107 (February 1, 1997)   |
|                  | AI        | Miki et al., "N-WASP, a novel actin-depolymerizing protein, regulates the cortical cytoskeletal rearrangement in a PIP2-dependent manner downstream of tyrosine kinases," The EMBO Journal, vol. 15(19):5326-5335 (1996) |
|                  | AJ        |  |

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| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |